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| **Title of the Invention** |
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| **Notes For the Inventors** |
| * Please note that up to six (6) months can elapse from the date the case number is assigned to the ROI until the application is filed * Case number is not assigned until a pdf format of the ROI, complete with inventors’ data and signatures, is received by IPMO |

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| **Inventors and Applicants** | | | | |
| **Inventors (Name, Surname)** | **Employer/Affiliation** | | **Nationality** | **Inventorship percentage (%)**[[1]](#footnote-2) |
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| **Owner/Assignee**  *IIT or other legal entities owning the patent application fully or in part* | | **Ownership percentage (%)**  *Indicate in what percentage any single owner holds the IP title* | | |
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| **Nota Per lo Studio Italiano di Consulenza Brevettuale** |
| Ragione Sociale del Titolare:  **Persona Giuridica**  **FONDAZIONE ISTITUTO ITALIANO DI TECNOLOGIA  Via Morego, 30**  **I-16163 Genova**  **ITALIA**  **P.IVA 09198791007**  **Tipo di Società FONDAZIONE**  Lo Statuto della Fondazione Istituto Italiano di Tecnologia (pubblicamente disponibile all'indirizzo <https://www.iit.it/it/statuto>) all’Art. 1 “Disciplina e sede” recita:  *La Fondazione Istituto Italiano di Tecnologia - IIT, istituita con l’art. 4 del decreto legge 30 settembre 2003, n. 269, convertito con legge 24 novembre 2003, n. 326, è una fondazione privata disciplinata dagli articoli 14 e seguenti del codice civile. La Fondazione ha sede in Genova e può istituire sedi secondarie, rappresentanze, delegazioni e uffici in Italia e all’estero.*  **Dunque, la Fondazione Istituto Italiano di Tecnologia deve essere considerata come persona giuridica privata e, in caso di deposito di una domanda Italiana di Brevetto, non può avvalersi dell’esenzione dal pagamento di diritti e tasse di cui al DM 02/04/2007, Art. 2.** |

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| **Connected Projects** | | |
| *List any EU Project and/or Commercial Project originating the IP, if applicable.*  *List any agreement between Assignees regarding IP, if applicable.*  *Please add one line for each project and agreement as appropriate*  *Attach any relevant IP document (grant agreement, consortium agreement, IP management Agreement, PhD agreement etc.)* | | |
|  | | |
| **Project** | |  | |
| **Project’s Partners Disclosure** | | YES  NO | |
| **Grant agreement** | | YES  NO | Attached |
| **Consortium agreement** | | YES  NO | Attached |
| **IP management Agreement** | | YES  NO | Attached |
| **PhD agreement** | | YES  NO | Attached |
| **Other** | | YES  NO | Attached |

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| **Flagship** | |
| *Has the invention been developed as part of the Flagship Projects?* | |
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| YES  NO | | |
| **If yes, please specify which one:** | |

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| **General Subject Matter** |
| *Please check all applicable checkbox* |
|  |
| Product or Device  Process |

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| **IIT Related Patent Applications** | | |
| *List all the Patent Applications related to this Record Of Invention*  *Please add one line for each patent application as appropriate* | | |
|  | | |
| **Patent Application Number** | **Internal Reference Number** | **Filing Date (optional**) |
|  | PT |  |
|  | PT |  |

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| **Public Disclosure** | |
| *List all the public disclosures of this invention* *(oral, in writing, by actual use, demonstration or posters)*  *Please add one line for each disclosure as appropriate* | |
| 1. |  |
| 2. |  |
|  | | | |
| *List any deadline for future planned disclosures (public events, meeting with companies or potential partners, conferences, paper submission, PhD dissertations, thesis defence, etc.)*  *Please add one line for each disclosure as appropriate*  *Please note that, before PhD/thesis dissertations, the secrecy procedure is required. For further information contact IP office.* | | | |
| 1. | |  | |
| 2. | |  | |

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| **Background Of The Invention** |
| *Define the scope of the invention and the problem solved by the invention; describe all known solutions, including related IIT work, which bears on the invention* |
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| **Prior Art (Patents and Papers or other relevant documents)** | |
| *Provide at least one document as the Closest Prior Art and identify the technical problems unresolved by the Prior Art*  *(you can search public Patent databases as* [Espacenet](https://worldwide.espacenet.com/patent/search) *or others, paper DB etc.)*  ***Maximum 4 documents. Every cited document must be attached to this Record Of Invention*** | |
|  | |
| **Reference 1** | **Attached** |
|  |  |
| **Main features of the reference and differences with proposal (bullets)** | |
| * Technical problem 1.a * Ect. | |
|  | |
| **Reference 2** | **Attached** |
|  |  |
| **Main features of the reference and differences with proposal (bullets)** | |
| * Technical problem 2.a * Ect. | |
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| **Reference 3** | **Attached** |
|  |  |
| **Main features of the reference and differences with proposal (bullets)** | |
| * Technical problem 3.a * ect. | |
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| **Reference 4** | **Attached** |
|  |  |
| **Main features of the reference and differences with proposal (bullets)** | |
| * Technical problem 4.a * Ect. | |

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| **Abstract (Brief Description Of The Invention)** |
| *Short description of the invention and main technical characteristics* |
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| **Keywords** |
| *Identify possible keywords and synonyms* |
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| **Description of the Invention** |
| *Detailed description of the invention*  *Included figures and/or tables must be in black and white* |
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| **Technical features** |
| *Identify the distinctive features of the invention* |
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| **Technical advantages** |
| *Identify the advantages and problems solved by distinctive features above* |
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| **Proof Of Concept** |
| *Do you have prototype, lab tests, experimental data and simulations?*  *Please provide technical details*  ***Included figures and/or tables must be in black and white*** |
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| **Further Developments** |
| *Describe (possible) further developments* |
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| **Market analysis & Applications** |
| *Describe the market scenario and possible applications of the invention. List one or more companies that might be interested in acquiring the technology, specify if you have personal contact or state if the inventors are interested in creating a start up based on such patent.* |
| COMPANY A |
| COMPANY B |

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| **Bibliography** |
| *List only the most relevant documents* |
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| **Inventors Declaration** |
| By completing and signing the following part of this document, the persons involved in this disclosure declare that they are the sole inventors of the proposed Record of Invention and that no person has been excluded who may claim participation in the inventive activity of what diclosed herein |

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| **Inventors Data** | | | | | |
| *The first person listed will be considered the “lead inventor”*  *Please add One Table for each inventor as appropriate* | | | | | |
|  | | | | |
| **Name, SURNAME[[2]](#footnote-3)** |  | | | | |
|  |  | PhD Student |  | Undergraduate Student | |
| **Conferring University**  *(only for PhDs and Undergraduate Students)* |  | | | | |
| **Email (work)** |  | | | | |
| **Email (other)** |  | | | | |
| **Research Line[[3]](#footnote-4) | PI3** |  | | |  | |
| **Inventor Residence**  *(only Country)* |  | | | | |
| **Nationality** |  | | | | |
| **Assignee[[4]](#footnote-5) | Assignment date[[5]](#footnote-6)** |  | | |  | |
| **DECLARATION OF CONSENT TO PERSONAL DATA PROCESSING**  (pursuant to Articles 7 of EU Regulation 2016/679) | | | | | |
| By checking the following, you agree that you have read, understand and accept the hereafterin enclosed “INFORMATION AND EXPRESS STATEMENT OF CONSENT TO PERSONAL DATA PROCESSING FOR THE RECORD OF INVENTION” and the information referred to in Articles 12 and 13 of EU Regulation 2016/679 and that you are aware of the rights set out in Article 13, letter b) and Articles 15, 16, 17, 18 and 20 of the Regulation. | | | | | |
| **I agree** | | | | | |
| You are asked to give explicit consent to the processing of personal data, carried out according to the ways and purposes specified in the hereafterin enclosed “INFORMATION AND EXPRESS STATEMENT OF CONSENT TO PERSONAL DATA PROCESSING FOR THE RECORD OF INVENTION”, always within the limits in which it is required for the specific purpose. | | | | | |
| **I, hereby give my consent**  **I, hereby DO NOT give my consent** | | | | | |
| **Date** |  | | | | |
| **SIGNATURE** |  | | | | |

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| --- | --- | --- | --- | --- |
| **Name, SURNAME2** |  | | | |
|  |  | PhD Student |  | Undergraduate Student |
| **Conferring University**  *(only for PhDs and Undergraduate Students)* |  | | | |
| **Email (work)** |  | | | |
| **Email (other)** |  | | | |
| **Research Line3 | PI3** |  | | |  |
| **Inventor Residence**  *(only Country)* |  | | | |
|  |  | | | |
| **Nationality** |  | | | |
| **Assignee4 | Assignment date5** |  | | |  |
| **DECLARATION OF CONSENT TO PERSONAL DATA PROCESSING**  (pursuant to Articles 7 of EU Regulation 2016/679) | | | | |
| By checking the following, you agree that you have read, understand and accept the hereafterin enclosed “INFORMATION AND EXPRESS STATEMENT OF CONSENT TO PERSONAL DATA PROCESSING FOR THE RECORD OF INVENTION” and the information referred to in Articles 12 and 13 of EU Regulation 2016/679 and that you are aware of the rights set out in Article 13, letter b) and Articles 15, 16, 17, 18 and 20 of the Regulation. | | | | |
| **I agree** | | | | |
| You are asked to give explicit consent to the processing of personal data, carried out according to the ways and purposes specified in the hereafterin enclosed “INFORMATION AND EXPRESS STATEMENT OF CONSENT TO PERSONAL DATA PROCESSING FOR THE RECORD OF INVENTION”, always within the limits in which it is required for the specific purpose. | | | | |
| **I, hereby give my consent**  **I, hereby DO NOT give my consent** | | | | |
| **Date** |  | | | |
| **SIGNATURE** |  | | | |

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| **Information and statement of consent to personal data processing for the “Record Of Invention”** |
| This is to inform you that, pursuant to Articles 12 and 13 of EU Regulation 2016/679 on "Protection of individuals with regard to the processing of personal data and the free movement of such data" (hereinafter the Regulation), for what concerns the patent application of your invention, the Fondazione Istituto Italiano di Tecnologia, hereinafter referred to as "IIT", manages a series of personal data related to you.   1. **Identity and contact details of the Data Controller**   The Data Controller of your personal data is the Fondazione Istituto Italiano di Tecnologia, based in Via Morego, 30 - 16163 Genova.   1. **Contact details of the Data Protection Officer**   The Responsible of the Data Protection Department, Rödl&Partner, Milan - Phone 010 71781 – email: [dpo@iit.it](mailto:dpo@iit.it).   1. **Purposes of data processing**   The processing of your personal data by IIT will take place for the purpose of managing patents or patent applications on a claimed invention.  In particular, the processing of personal data may be carried out for:   * 1. filing, prosecuting and maintaining patents or patent applications;   2. protecting scientific findings;   3. coordinating with any other co-owners of the invention and patent counsels;   4. pursuing IIT's institutional goals (science, technology transfer, public disclosure);   5. return possible revenues from the exploitation of the claimed invention.  1. **Legal basis of data processing**   The legal basis of data processing consists of:  Article 6, letter a) of Regulation: the data subject has given consent to the processing of his or her personal data for one or more specific purposes.  Article 6, letter b) of Regulation: the data processing is necessary for the execution of a contract of which the interested party is a party or for the execution of pre-contractual measures taken upon his/her request.   1. **Processing Method**   Your data will be processed by computer and paper support, following the rules on protection of personal data, including those related to data security.   1. **Categories of recipients of personal data**   The data you have provided may be disclosed to public and private entities relevant to the purposes mentioned above. The communication of personal data is carried out on the basis of the obligations provided by national and international laws.  The data may also be communicated to other co-owners of the claimed invention and patent counsels.  Furthermore, the IIT’s obligation to communicate data to the Judicial Authority remains in place, whenever, in this regard, a specific request is sent.   1. **Data storage**   The storage of your personal data will take place in compliance with the security measures used by IIT on data protection, and access to them will be allowed only to the staff involved and duly appointed to process data.  Your data will be kept for the time needed to manage the patent application of the invention, and in any case for no longer than 26 years.   1. **Rights of the data subject**   You may exercise the rights provided in Article 13, letter b) and in Articles 15, 16, 17, 18 and 20 of the Regulation at any time by contacting the Data Protection Officer, at the contact data at point 2 of this information note.  In particular, as data subject, you can request:   * 1. access to your personal data, as provided in Article 15 of the Regulation;   2. correction of your personal data, as provided in Article 16 of the Regulation;   3. cancellation of your personal data ("right to be forgotten"), as provided in Article 17 of the Regulation;   4. restriction of personal data processing, as provided in Article 18 of the Regulation.   Furthermore, we inform you that you can object to the processing of data at any time, pursuant to Article 21 of the Regulations, in case one of the situations mentioned in Article 6, paragraph 1, letters e) and f) of the same Regulation occurs.   1. **Consent and withdrawal**   You can withdraw your consent at any time by contacting the Data Protection Officer.   1. **Complaint to the Supervisory Authority**   Finally, pursuant to Article 77 of the Regulation, we remind you that you have the right to submit a complaint to the Supervisory Authority (Protection Authority for Personal Data Processing), if you believe that your data processing infringes the provisions of the Regulation.   1. **Nature of the processing and obligation of providing data**   The required data are mandatory in order to seek patent protection for the claimed invention. Failure to provide these data may result in the withdrawal of patents or patent applications.   1. **Existence of automated decision-making processes in the data treatment**   It is specified that for processing of the above data there is NOT any type of automated decision-making process, pursuant to Article 22 of the Regulation. |

1. Please pay particular attention (<http://en.wikipedia.org/wiki/Inventor_(patent)>). If there is more than one inventor, please indicate the percentage contribution of each inventor to the invention. [↑](#footnote-ref-2)
2. Name and Surname must exactly match your ID. [↑](#footnote-ref-3)
3. Only for IIT researchers. Please indicate your IIT Research Line and Principal Investigator. Please refer to the following Intranet link <https://intranet.iit.it/research-organisation-office/doc_download/7998-research-lines-matrix.html> (if the link doesn’t work, please copy and paste the address in your browser). [↑](#footnote-ref-4)
4. Usually the employer institution or company (e. g. Fondazione Istituto Italiano di Tecnologia). [↑](#footnote-ref-5)
5. For IIT, please indicate your contract starting date at the time the invention was conceived [↑](#footnote-ref-6)